INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/EP00/00174	12 January 2000	13 January 1999

TITLE OF INVENTION

USE OF ANTIBODIES FOR THE VACCINATION AGAINST CANCER

APPLICANT(S) FOR DO/EO/US

Helmut ECKERT and Hans LOIBNER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include itens (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
   a. ☐ is attached hereto (required only if not communicated by the International Bureau).
   b. ☒ has been communicated by the International Bureau.
   c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
   a. ☒ is attached hereto.
   b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
   a. ☐ are attached hereto (required only if not communicated by the International Bureau).
   b. ☐ have been communicated by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Verification of Translation
Thirteen (13) Sheets of Formal Drawings
Letter Submitting Article 34 Amended Claims
Letter Submitting Translation of Article 34 Amended Claims

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
09/889300 NEW	PCT/EP00/00174	0147-0229P

24. The following fees are submitted:.		CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :			
☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO	**$1000.00**		
☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO	**$860.00**		
☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	**$710.00**		
☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)	**$690.00**		
☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)	**$100.00**		
ENTER APPROPRIATE BASIC FEE AMOUNT =		**$860.00**	
Surcharge of **$130.00** for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).		**$130.00**	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	11 - 20 =	0	x $18.00	**$0.00**	
Independent claims	1 - 3 =	0	x $80.00	**$0.00**	
Multiple Dependent Claims **(check if applicable).**			☒	**$270.00**	
TOTAL OF ABOVE CALCULATIONS =				**$1,260.00**	
☒ Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				**$630.00**	
SUBTOTAL =				**$630.00**	
Processing fee of **$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).			+	**$0.00**	
TOTAL NATIONAL FEE =				**$630.00**	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) **(check if applicable).** ☐				**$0.00**	
TOTAL FEES ENCLOSED =				**$630.00**	
				Amount to be: refunded	$
				charged	$

a. ☒ A check in the amount of **$630.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **02-2448** A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

BIRCH, STEWART, KOLASCH & BIRCH, LLP
P.O. Box 747
Falls Church, VA 22040-0747

/lmt

SIGNATURE

Leonard R. Svensson
NAME

30,330
REGISTRATION NUMBER

13 July 2001
DATE

PATENT
0147-0229P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant:	ECKERT, Helmut et al.	Conf.:
Int'l. Appl. No.:	PCT/EP00/00174	
Appl. No.:	NEW	Group:
Filed:	July 13, 2001	Examiner:
For:	USE OF ANTIBODIES FOR THE VACCINATION AGAINST CANCER	

PRELIMINARY AMENDMENT

BOX PCT
Assistant Commissioner for Patents
Washington, DC 20231

July 13, 2001

Sir:

The following Preliminary Amendments and Remarks are respectfully submitted in connection with the above-identified application.

AMENDMENTS

IN THE SPECIFICATION:

Please amend the specification as follows:

Before line 1, insert --This application is the national phase under 35 U.S.C. § 371 of PCT International Application No. PCT/EP00/00174 which has an International filing date of January 12, 2000, which designated the United States of America.--

IN THE CLAIMS

Please amend the claims as follows:

1. (Amended) A pharmaceutical composition for vaccination against cancer comprising at least one antibody directed against the cellular membrane antigen Ep-CAM.

2. (Amended) The pharmaceutical composition of claim 1, wherein said antibody is of animal origin.

3. (Amended) The pharmaceutical composition of claim 1, wherein said antibody is a monoclonal antibody.

4. (Amended) The pharmaceutical composition of claim 3, wherein said antibody is a murine monoclonal antibody, wherein the variable region of the heavy chain is the amino acid sequence as shown in SEQ ID NO:1 and wherein the variable region of the light chain is the amino acid sequence as shown in SEQ ID NO:2.

5. (Amended) The pharmaceutical composition of any one of claims 1-3, wherein said antibody has the same fine specificity of binding as the antibody defined in claim 4.

6. (Amended) The pharmaceutical composition of claim 1, wherein said antibodies are directed against different epitopes of the membrane antigen.

7. (Amended) The pharmaceutical composition of claim 1, further comprising at least one vaccine adjuvant.

8. (Amended) A method of vaccination against cancer comprising administering to a patient in need thereof the pharmaceutical composition of claim 1 at a dosage in the range of 0.01 to 4 mg antibody.

9. (Amended) The method according to claim 8, wherein said pharmaceutical composition is administered by subcutaneous, intradermal or intramuscular injection.

REMARKS

The specification has been amended to provide a cross-reference to the previously filed International Application.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By ____________________
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LRS/lmt
0147-0229P

Attachment: Version with Markings to Show Changes Made

(Rev. 02/12/01)

VERSION WITH MARKINGS TO SHOW CHANGES MADE

In the Claims:

The claims have been amended as follows:

1. (Amended) [Use of an antibody which is directed against the cellular membrane antigen EP-CAM for the preparation of a] A pharmaceutical composition for [the prophylactic and/or therapeutic] vaccination against cancer comprising at least one antibody directed against the cellular membrane antigen Ep-CAM.

2. (Amended) The [use] pharmaceutical composition of claim 1, wherein [the] said antibody is of animal origin.

3. (Amended) The [use] pharmaceutical composition of claim 1 [or 2], wherein [the] said antibody is a monoclonal antibody.

4. (Amended) The [use] pharmaceutical composition of claim 3, wherein [the] said antibody is a murine monoclonal antibody, wherein the variable region of the heavy chain is the amino acid sequence as shown in SEQ ID NO:1 and wherein the variable region of the light chain is the amino acid sequence as shown in SEQ ID NO:2.

5. (Amended) The [use] pharmaceutical composition of any one of claims 1-3, wherein [the] said antibody has the same fine specificity of binding as the antibody defined in claim 4.

6. (Amended) The [use of any one of claims 1 to 5] pharmaceutical composition of claim 1, wherein [two or more] said antibodies [which] are directed against different epitopes of the membrane antigen [are used in combination with each other].

7. (Amended) The [use of any one of claims 1 to 6, wherein the] pharmaceutical composition of claim 1, further comprising [comprises also] at least one vaccine adjuvant.

8. (Amended) [The use of any one of claims 1 to 7, wherein] A method of vaccination against cancer comprising administering to a patient in need thereof the pharmaceutical composition [is suitable for the administration of the antibody] of claim 1 at a dosage in the range of 0.01 to 4 mg antibody.

9. (Amended) The [use of any one of claims 1 to 9,] method according to claim 8, wherein [the] said pharmaceutical composition is [suitable for the administration] administered by subcutaneous, intradermal or intramuscular injection.

PCT application PCT/EP00/00174
IGENEON GmbH
Our Ref.: D 2923 PCT

English translation of the PCT application PCT/EP00/00174

Use of antibodies for the vaccination against cancer

The present invention relates to the use of antibodies which are directed against human cellular membrane antigens for the preparation of a pharmaceutical composition for the vaccination against cancer.

With the discovery of the hybridoma technology it became possible to generate monoclonal antibodies (MAB) against the most varied antigens. This technology which can generally be applied to all biological problems also plays an important role in cancer research. Over the last twenty years MAB directed against a multitude of tumor-associated antigens (TAA) have been produced. TAA are structures which are expressed predominantly on the cell membrane of tumor cells and which, thus, allow differentiation from non-malignant tissue. Therefore, they are regarded as targets for diagnostic or therapeutic applications on the basis of specific MAB or derivatives derived from these MAB.

Direct therapeutic applications of MAB which are directed against TAA are based on passive immunotherapies, i.e. an MAB or a derivative is applied systemically to cancer patients in a suitable amount and has a therapeutic effect only as long as the concentration in the organism is sufficiently high. The biological half-life of such agents depends on their structure and ranges from only a few hours to several days. It is therefore necessary to repeat the applications. However, if xenogenic antibodies (e.g. murine MAB) are used, this leads to unwanted immune reactions, which can lead to the neutralization of a possible therapeutic effect and to dangerous side effects (anaphylactic shock). Therefore, such immunotherapeutics can only be administered for a limited period of time.

Another approach for the immunotherapy of cancer is based on the selective activation of the immune system of cancer patients so as to combat malignant cells

for which the most varied types of cancer vaccines are used. These include vaccinations with autologous or allogenic tumor cells, vaccinations with autologous or allogenic tumor cells which have been chemically modified or which have been modified by gene technological techniques, vaccinations with isolated TAA or TAA which have been produced using chemical or gene technological methods, with peptides derived therefrom, and, recently, also vaccinations with DNAs coding for TAA or structures derived therefrom, etc. An alternative method is based on the use of anti-idiotypic antibodies for the vaccination against cancer. Suitable anti-idiotypic antibodies can immunologically mimic a TAA. As xenogeneic proteins (e.g. murine antibodies, goat antibodies etc.) they induce a strong immune response in human after vaccination – in contrast to the proper human tumor antigens, which, as structures of the self, are often immunogenic to a low degree only. Therefore, anti-idiotypic antibodies can be used for vaccination as an immunogenic substitute for a tumor antigen.

In contrast to the passive immunotherapy with anti-tumor antibodies in the active specific cancer immunotherapy, even very small amounts of a suitable vaccine are, in principle, sufficient to induce an immunity which lasts for months or for years and which can be boosted by repeated vaccinations if it weakens. Moreover, active immunization allows to induce a humoral as well as a cellular immunity the cooperation of which can lead to an effective protection.

In summary, the use of antibodies or their derivatives for immunotherapy against cancer, which has been described so far, is essentially based on two principles:

- passive therapy with antibodies or their derivatives which are directed against TAA.
- active immunization (vaccination) with antibodies or their derivatives which are directed against the idiotype of antibodies having a specificity against TAA.

In the course of the discovery and the subsequent characterization of the most varied TAA, it has turned out that they have important functions as regards cancer cells. They enable the degenerate cells to show properties characteristic of the malignant phenotype, such as an increased capability for adhesion, which play an important role in establishing metastases. However, such antigens can, at certain stages, also be expressed on normal cells where they are responsible for the normal functions of

these cells. Without laying claim to completeness, some examples of such antigens are listed in the following:

- N-CAM (Neuronal Cell Adhesion Molecule), which is often expressed on tumors of neuronal origin and which effects homophilic adhesion (J. Cell Biol. 118 (1992), 937).
- The Lewis Y carbohydrate antigen, which occurs on the majority of tumors of epithelial origin, but which also plays an important role during the fetal development of epithelial tissues. It has been shown that the expression of this antigen in lung cancer is strongly associated with an unfavorable prognosis since Lewis Y positive cancer cells obviously have a higher metastatic potential (N. Engl. J. Med. 327 (1992), 14).
- CEA (Carcino Embryonic Antigen), which often occurs on epithelial tumors of the gastrointestinal tract and which has been identified as a self-adhesion molecule (Cell 57 (1989), 327).
- Ep-CAM (Epithelial Cell Adhesion Molecule), which is expressed on nearly all tumors of epithelial origin, but which also occurs on a large number of normal epithels. It has been characterized as a self-adhesion molecule and can therefore be classified as a pan-epithelial adhesion antigen (J. Cell Biol. 125 (1994), 437).

The technical problem underlying the present invention is to provide further means and methods which allow an efficient prophylaxis against or therapy of cancer diseases.

This problem has been solved by the provision of the embodiments as characterized in the claims.

Accordingly, the invention relates to the use of antibodies which are directed against human cellular membrane antigens for the preparation of a pharmaceutical composition for the prophylactic and/or therapeutic vaccination against cancer. In this context the term "cellular membrane antigens" relates to structures which are presented on the cell membrane of cells. These include in particular receptors, such as the transferrin receptor, or other molecules, such as E cadherine or Ep-CAM.

In a preferred embodiment, such a cellular membrane antigen is a tumor-associated antigen. In this context, the term "tumor-associated antigen" means a structure which is predominantly presented by tumor cells and thereby allows a differentiation from non-malignant tissue. Preferably, such a tumor-associated antigen is located on or in the cell membrane of a tumor cell. This does, however, not exclude the possibility that such antigens also occur on non-degenerate cells. The tumor-associated antigens can, for example, be polypeptides, in particular glycosylated proteins, or glycosylation patterns of polypeptides. Other structures which may represent a tumor-associated antigen are, e.g., glycolipids. These include, for example, gangliosides, such as GM2. Moreover, tumor-associated antigens may be represented by changes in the composition of lipids of the cell membrane which may be characteristic of cancer cells.

Examples of tumor-associated antigens are N-CAM, the Lewis Y carbohydrate antigen, CEA and Ep-CAM, which have already been mentioned above. Further examples are Sialyl Tn carbohydrate, Globo H carbohydrate, gangliosides such as GD2/GD3/GM2, Prostate Specific Antigen (PSA), CA 125, CA 19-9, CA 15-3, TAG-72, EGF receptor, Her2/Neu receptor, p97, CD20 and CD21. Monoclonal antibodies directed against all these antigens are available. Further tumor-associated antigens are described, e.g., in DeVita et al. (Eds., "Biological Therapy of Cancer", 2. Edition, Chapter 3: Biology of Tumor Antigens, Lippincott Company, ISBN 0-397-51416-6 (1995)).

The term "antibody" relates to antibodies of all possible types, in particular to polyclonal or monoclonal antibodies or also to antibodies produced by chemical, biochemical or gene technological methods. Methods for producing such molecules are known to the person skilled in the art. The way of producing the antibody is not important. Only its binding specificity for a relevant epitope of a cellular membrane antigen is important. Preferably, monoclonal antibodies are used, most preferably monoclonal antibodies of animal origin, in particular of murine origin. It is particularly preferred that the murine monoclonal antibody HE-2 is used, which can be produced as described, or an antibody which has the same fine specificity of binding as HE2. Within the meaning of the present invention, the term "antibody" also includes

fragments and derivatives of antibodies wherein these fragments or derivatives recognize a TAA. The therapeutically effective immune response which is induced by the vaccination with suitable antibodies directed against TAA is determined by the binding region of these antibodies, i.e. by their idiotype. Therefore, it is, in principle, also possible to use, instead of intact antibodies, fragments or derivatives of these antibodies for a successful vaccination as long as these derivatives still contain the idiotype of the respective starting-antibody. As examples, without being limiting, can be listed: F(ab)´$_2$ fragments, F(ab)´ fragments, Fv fragments which can be produced either by known biochemical methods (enzymatic cleavage) or by known methods of molecular biology. Further examples are derivatives of antibodies, which can be produced according to known chemical, biochemical or gene technological methods. In this context, the term "derivative", in particular, also includes products which can be produced by chemical linkage of antibodies (antibody fragments) with molecules which can enhance the immune response, such as tetanus toxoid, Pseudomonas exotoxin, derivatives of Lipid A, GM-CSF, IL-2 or by chemical linkage of antibodies (antibody fragments) with lipids for a better incorporation into a liposome formulation. The term "derivative" also includes fusion proteins of antibodies (antibody fragments), which have been produced gene technologically, with polypeptides which can enhance the immune response, such as GM-CSF, IL-2, IL-12, C3d etc. According to the invention, the antibodies can, of course, also be applied in combination with each other. This means that two or more antibodies which recognize different membrane antigens or different epitopes of the same membrane antigen can be administered. The different antibodies can be administered simultaneously (together or separately) or subsequently. Cancer cells often express several TAA at the same time against which suitable antibodies for vaccination are either available or can be generated. In order to obtain an enhanced or possibly synergistic effect of the induced immune response and to minimize the potential danger of the selection of antigen-negative variants and in order to counteract a possible tumor cell heterogenity, it may be advantageous to use a combination of two or more suitable antibodies or their fragments or derivatives simultaneously for vaccination.

In the context of the present invention the term "vaccination" means an active immunization, i.e. an induction of a specific immune response due to administration

(e.g. subcutaneous, intradermal, intramuscular, possibly also oral, nasal) of small amounts of an antigen (a substance which is recognized by the vaccinated individual as foreign and therefore immunogenic) in a suitable immunogenic formulation. The antigen is thus used as a "trigger" for the immune system in order to build up a specific immune response against the antigen. In principle, the required amounts of the antigen can be very small (some vaccines only contain about 5-10 µg antigen per dose of vaccination).

It is characteristic of an active immunization that dose-effect curve depends, over a wide range, only little on the amount of antigen administered. This means that the immune response is more or less identical in a wide range of doses. As a consequence, in the case of vaccination, the desired effect, i.e. the induction of an immune response, can already be achieved with very small amounts of antigen. It can, however, also be achieved in a comparable manner using substantially larger amounts of antigen. It is, of course, desirable to use, in general, as low a dosage as possible, in particular in view of side effects, costs for material etc., which are of importance as regards vaccination.

In the sense of the present invention a vaccination can, in principle, be either carried out in the therapeutic sense as well as in the prophylactic sense (as is the case with all antimicrobial vaccines). This means that the vaccination against cancer according to the present invention can be understood as both a therapeutic and a prophylactic application. Accordingly, it might optionally be possible to achieve a prophylactic protection against the breakout of a cancer disease by vaccination of individuals who do not suffer from cancer. Individuals to whom such a prophylactic vaccination can be applied are individuals who have an increased risk to develop a cancer disease, although this application is not limited to such individuals.

The use according to the present invention differs substantially from the basic possibilities of therapeutic application of antibodies for the treatment of cancer that have been known so far and have been described earlier and allows for an unexpectedly efficient treatment.

The binding region of an antibody against a TAA can represent a structural complementary picture of the binding epitope of the respective TAA according to the "lock and key" principle. This means that such an antibody has, in its idiotype, a

structural information of the epitope of the TAA against which it is directed. Thus, if a cancer patient is vaccinated with a suitable immunogenic antibody against a TAA (i.e. for example with a murine MAB against a TAA), antibodies are produced in the patient which, in part, are directed against the idiotype of the antibody used as vaccine and which can structurally mimic the epitope of the TAA according to the "lock and key" principle. This means that due to such a vaccination, so to say, soluble variants of the epitope of the TAA are generated in the cancer patient, which can be effective as actively induced autologous antibodies for a long period of time and the titer of which can be boosted in suitable intervals by repeated vaccinations.

In a preferred embodiment, the human cellular membrane antigen is a structure which plays a role in adhesion processes. In this context, adhesion processes preferably are cell-cell-interactions wherein ligands or receptors on the cell surface are involved. Thus, adhesion molecules are ligands or receptors on the cell surface which serve the function of cell-cell-interaction. A subgroup of such adhesion molecules are the self-adhesion molecules. These possess the property to be able to bind to themselves.

The physiological effect of an immune response induced by vaccination with an antibody directed against a TAA naturally depends on the function of the respective TAA. If the TAA has, for example, the function of a receptor for the adhesion of tumor cells, in particular to a ligand on endothelial cells of the vascular system (such a property is important for the ability of the disseminated tumor cells to exit from the vascular system and to settle in tissue in order to form a metastasis), this ability for adhesion is reduced by vaccination with a suitable antibody directed against this TAA, since induced antibodies, which will compete for the interaction of the TAA with its ligand as they mimic the TAA in soluble form, will be permanently present in the circulation and the tissue.

Generally spoken, it is possible, according to the explanations given above, to achieve by vaccination with suitable antibodies against TAA which have a function as regards the malignity of tumor cells, that the induced immune response interferes with the function of the TAA in its interaction with its ligand and hampers or prevents this interaction. This means that cancer cells can not or not sufficiently express properties which are important for the malignant phenotype, which makes it possible

to slow down or stop the development of the disease and to suppress the development of metastases, in particular, at an early stage.

In a further preferred embodiment, the cellular membrane antigen is capable of self-adhesion, i.e. certain epitopes of the antigen are responsible for the homophilic binding to the same antigen on another cell. Examples of such antigens are, inter alia, N-CAM (Neuronal Cellular Adhesion Molecule), CEA (Carcino Embryonic Antigen) and Ep-CAM (Epithelial Cell Adhesion Molecule). Antibodies directed against epitopes of self-adhesion antigens which are involved in this function, can, as described above, contain a structural information complementary to such an epitope. By vaccination with such antibodies, it is thus possible, as described above, to induce the formation of antibodies which have the property of this self-adhesion in the binding reaction. This means that such induced antibodies can, in turn, bind to the self-adhesion antigen since in such a case receptor and ligand are identical. Thus, it is possible to induce an immune response by vaccination of cancer patients with suitable antibodies directed against self-adhesion antigens, wherein said immune response in turn directly binds to tumor cells and thereby triggers various therapeutic effects. On the one hand, the ability of self-adhesion, which is important to malignant cells, is blocked and, on the other hand, human effector functions such as complement-dependent lysis and/or lysis due to activation of cytotoxic effector cells, can be triggered by the binding of the induced antibodies to the tumor cells, which lead to the destruction of the tumor cells.

By all the above mentioned mechanisms and effects, the formation of new metastases can be suppressed and the dissemination of the disease can, at least, be slowed down thanks to vaccination of cancer patients with suitable antibodies against TAA. In early stages of the disease, for example after a successful operation of a primary tumor (adjuvant stage), remaining disseminated tumor cells are prevented from establishing themselves as new metastases due to such vaccinations. This allows to prolong the relapse-free survival period and therefore the overall lifetime of such patients. It may optionally be possible to obtain a lifelong protection against the formation of metastases due to such vaccinations and booster vaccinations which are carried out in suitable intervals. Of particular interest are vaccinations of cancer

patients with suitable antibodies directed against a self-adhesion TAA since in these cases, as described above, it is possible to achieve an enhanced therapeutic effect due to an additional direct attack of the induced immune response on the tumor cells.

In a further preferred embodiment, the pharmaceutical composition prepared according to the use of the present invention contains at least one adjuvant commonly used in the formulation of vaccines apart from the antibody. It is possible to enhance the immune response by such adjuvants. As examples of adjuvants, however not being limited to these, the following can be listed: aluminium hydroxide (Alu gel), derivatives of lipopolysaccharides, Bacillus Calmette Guerin (BCG), liposome preparations, formulations with additional antigens against which the immune system has already produced a strong immune response, such as for example tetanus toxoid, Pseudomonas exotoxin, or constituents of influenza viruses, optionally in a liposome preparation, biological adjuvants such as Granulocyte Macrophage Stimulating Factor (GM-CSF), interleukin 2 (IL-2) or gamma interferon (IFNγ).

In another preferred embodiment, the pharmaceutical composition prepared according to the use of the invention is suitable for administration for vaccination in a dosage of 0.01 to 4 mg antibody, preferably of 0.5 mg.
The vaccination can be carried out by a single application of the above mentioned dosage. However, preferably the vaccination is carried out by repeated applications. The number of repetitions is in the range from 1 to 12 per year, more preferably in the range from 4 to 8 per year. The dosage can remain the same or can decrease.

Booster vaccinations can be carried out in regular intervals, in principle, lifelong. Suitable intervals are in the range from 6 to 24 months and can be determined by monitoring the titer of the induced antibodies (a booster vaccination should be carried out as soon as the titer of the induced antibodies has dropped significantly).

The administration of the antibody can be carried out according to methods known to the person skilled in the art. Preferably, the pharmaceutical composition containing the antibody is suitable for a subcutaneous, intradermal or intramuscular

administration.

The present invention furthermore relates to the use of antibodies which recognize a tumor-associated antigen for the vaccination against cancer diseases as well as to a method for treating cancer diseases by vaccination, wherein one or more antibodies which recognize a TAA are administered to a patient in an amount sufficient for vaccination. For the definitions and the preferred embodiments the same holds true as already described above in connection with the use according to the invention.

The use of antibodies directed against TAA or of their derivatives or fragments as vaccines differs substantially from the known applications of such anti-TAA antibodies for the passive immunotherapy. Some essential advantages of the use according to the invention in comparison to the passive antibody immuno therapy are summarized as follows:

Antibodies directed against TAA for the passive immunotherapy of cancer:

- high dosage (≥ 100 mg / intravenous infusion)
- short effect due to elimination of the effective agent
- xenogenic antibody undesirable due to immunogenity
- the duration of the therapy is limited, in particular in the case of xenogenic antibodies, due to the induction of an immune response and the danger of anaphylactic reactions caused thereby in the case of repeated applications

Antibodies directed against TAA for the prophylactic and/or therapeutic vaccination against cancer:

- low dosage (< 1 mg / vaccination; subcutaneous, intradermal or intramuscular injection)
- long lasting effect of the directly induced immune response
- xenogenic antibodies desirable since the effect is based on immunogenicity
- duration of the treatment unlimited (booster vaccinations are always possible)

In the following, experiments will be described which show that the vaccination with a certain murine MAB (HE2), which is directed against the self-adhesion TAA Ep-CAM, or the vaccination with its $F(ab)'_2$ fragment directly leads to the induction of antibodies which selectively bind on human tumor cells expressing this antigen. This shows, as an example but without any limitation, that an immune response which can have a therapeutic effect in cancer diseases is induced by vaccination with suitable antibodies directed against a self-adhesion TAA or with their derivatives which, at least, comprise the idiotype of the starting antibody.

For this purpose, the murine monoclonal antibody HE2 was generated according to described standard procedures of the hybridoma technology (see, e.g., H. Zola. Monoclonal Antibodies: A Manual of Techniques. CRC Press, Inc. ISBN 0-8493-6476-0; 1988). Balb/c mice were immunized with human colorectal cancer cells according to standard protocols. The spleen cells were fused with the mouse melanoma line P3X63Ag8 and hybridomas were selected which produce antibodies which selectively bind to human colorectal cancer cells but not to melanoma cells. Finally, a hybridoma was selected which secreted an IgG2a/kappa antibody. This antibody (HE2) binds to Ep-CAM as can be shown, e.g., by Western Blot analysis with membrane preparations from KATO III stomach cancer cells using a known anti-Ep-CAM antibody (KS1-4) as a comparison.

The amino acid sequences of the variable regions of MAB HE2 are as follows:

Heavy chain:

QVQLQQSGAELVRPGTSVKVSCKASGYAFTNYLIEWVKQRPGQGLEWIGVINPGS
GGTNYNEKFKGKATLTADKSSSTAYMQLSSLTSDDSAVYFCARDGPWFAYWGQG
TLVTVSA (SEQ ID NO: 1)

Light chain:

NIVMTQSPKSMSMSVGERVTLTCKASENVVTYVSWYQQKPEQSPKLLIYGASNRYT
GVPDRFTGSGSATDFTLTISSVQAEDLADYHCGQGYSYPYTFGGGTKLEIK (SEQ

ID NO. 2)

The figures show:

Figure 1 shows the inhibition of the self-adhesion of the small cell lung cancer line SW2 by the MAB HE2 *in vitro.*

Figure 2 shows the self-adhesion of the human small cell lung cancer line SW2 without the influence of the MAB HE2 *in vitro* as a control to the experiment shown in Figure 1.

Figure 3 shows the induction of an antibody immune response against HE2 after vaccination of goats with the F(ab)´2 fragment of HE2 as determined in an ELISA.

Figure 4 shows the induction of an antibody immune response against Ep-CAM positive human stomach cancer cells (Kato III) after vaccination of goats with the F(ab)´2 fragment of HE2 as determined in a cell-ELISA.

Figure 5 shows the absence of an antibody immune response against Ep-CAM negative human melanoma cells (WM9) after vaccination of goats with the F(ab)´2 fragment of HE2 as determined in a cell-ELISA, which was carried out as a control to the experiment shown in Figure 4.

Figure 6 shows the binding of an affinity purified antibody fraction from serum of goats, which were vaccinated with the F(ab)´2 fragment of HE2, to Ep-CAM positive human stomach cancer cells (Kato III) as determined in a cell-ELISA.

Figure 7 shows the absence of the binding of an affinity purified antibody fraction from serum of goats, which were vaccinated with the F(ab)´2 fragment of HE2, to Ep-CAM negative human melanoma cells (WM9) as determined in a cell-ELISA, which was carried out as control to the experiment shown in Figure 6.

Figure 8 shows the induction of an antibody immune response against HE2 after vaccination of rhesus monkeys with 0.5 mg HE2 adsorbed to aluminium hydroxide as determined in an ELISA.

Figure 9 shows the induction of an antibody immune response against Ep-CAM positive human stomach cancer cells (Kato III) after vaccination of

rhesus monkeys with 0.5 mg HE2 adsorbed to aluminium hydroxide as determined in a cell-ELISA.

Figure 10 shows the induction of an antibody immune response against HE2 detected in connection with a toxicity study with rhesus monkeys after vaccination of one group of rhesus monkeys with 0.5 mg HE2 adsorbed to aluminium hydroxide as well as the absence of an immune response against HE2 after treatment of another group of rhesus monkeys with an aluminium hydroxide formulation without antigen (placebo) as determined in an ELISA.

Figure 11 shows exemplarily the induction of an antibody immune response against Ep-CAM positive human stomach cancer cells (Kato III) detected in connection with the toxicity study of rhesus monkeys with HE2 as determined in a cell-ELISA.

Figure 12 shows the induction of an antibody immune response against Ep-CAM positive human stomach cancer cells (Kato III) after repeated vaccination of a patient suffering from intestinal cancer with 0.5 mg HE2 adsorbed to aluminium hydroxide, as determined in a cell-ELISA.

Figure 13 shows the induction of a serum cytotoxicity against Ep-CAM positive human stomach cancer cells (Kato III) after repeated vaccination of a patient suffering from intestinal cancer with 0.5 mg HE2 adsorbed to aluminium hydroxide, as determined in a cell lysis experiment.

The following examples serve to further illustrate the invention but shall not limit it:

In order to show that the murine MAB HE2 binds to an epitope of the self-adhesion antigen Ep-CAM, which is involved in the homophilic binding, the influence of HE2 on the ability for self-adhesion of the human cell line SW2 was investigated. This small cell lung carcinoma line tends to form cell clusters in in vitro culture within several hours after preceding single seeding. The description of the experiment can be found in Example 1. As is evident from Figures 1 and 2, the formation of cell clusters is prevented to a large extend by the addition of HE2. This proves that HE2 binds to an epitope of Ep-CAM which is involved in the homophilic binding of this membrane protein.

In order to be able to investigate the direct humoral immune response to the vaccination with the F(ab)´$_2$ fragment of the murine MAB HE2, goats were immunized with this fragment. The fragment was prepared according to methods that are known and described by cleavage of HE2 with pepsin and was purified. The immunization of the goats is described in Example 2.

First, the goat immunoserum that was recovered and pooled was investigated, in comparison to a pre-serum, for immuno globulins which are directed against the MAB HE2 in order to determine the total immune response of the vaccinated goats. This investigation was carried out with the help of an ELISA assay, the experimental description of which is given in Example 3. The result of this experiment is shown in Figure 3: the goats have, due to the vaccination with the F(ab)´$_2$ fragment of the MAB HE2, developed a strong immune response thereto, whereas no antibodies against HE2 could be found in the pre-serum.

In the following, it was investigated whether it is possible to detect immunoglobulins in the goat immunoserum, which bind to human cancer cells which express the TAA against which the MAB HE2 is directed (Ep-CAM). For this purpose, the stomach cancer cell line KATO III was used. Also the binding to a human cell line, which does not express Ep-CAM (WM9 melanoma cells), was tested as a control. These investigations were carried out with the help of cell-ELISA assays, the experimental description of which is given in Example 4. The results of these experiments are shown in Figures 4 and 5: the goat immunoserum contains immunoglobulins which strongly bind to the Ep-CAM positive KATO cells, whereas no binding can be detected on the Ep-CAM negative WM9 cells. The pre-serum contains no antibodies which bind to these cells. This very surprising result shows that antibodies generated by the vaccination with the HE2- F(ab)´$_2$ fragment are indeed capable to bind themselves again to cells which express the TAA recognized by HE2. Consequently, the function of the TAA of self-adhesion could be transferred to the antibodies which were generated by the vaccination with HE2, as previously described in detail.

In order to prove that the antibodies produced in the goats due to the vaccination with

the F(ab)′$_2$ fragment of HE2 and which are directed against the idiotype of this MAB are indeed those which bind to the KATO cells, the anti-idiotypic portion of these induced antibodies was specifically purified from the goat immunoserum with the help of a sequence of immunoaffinity chromatographies as principally described (Proc. Natl. Acad. Sci. USA 81 (1984), 216). The sequence of the purification steps is again summarized in Example 5.

These affinity purified goat antibodies were again tested for their binding to the Ep-CAM positive KATO cells as well as to the Ep-CAM negative WM9 cells. The experimental description is given in Example 6. The result of these experiments is shown in the Figures 6 and 7: the goat IgG, which is directed against the idiotype of HE2, binds strongly to the Ep-CAM positive KATO cells, whereas unspecific goat IgG hardly binds. The binding of the affinity purified specific goat IgG to the Ep-CAM negative WM9 cells, however, does not differ from that of the unspecific goat IgG. It is thus proven that the fraction of the antibodies which directly developed due to the vaccination with the F(ab)′$_2$ fragment of HE2 and which are directed against the idiotype of this antibody, contains the antibodies which bind to the cancer cells which express the TAA recognized by HE2. By this experiment it is also conclusively shown that the antibodies against Ep-CAM positive cells induced by the vaccination with HE2 are not the result of a double autologous idiotypic network cascade as was postulated in several publications (see, e.g.: Cancer Immunol. Immunother. 42 (1996), 81-87), for such anti-idiotypic antibodies (Ab3) could not at all be purified by affinity chromatography on an Ab1 (= HE2) column since, according to the idiotypic network, they cannot bind to Ab1 but only to Ab2.

In view of the above described results of the immunization of goats with the F(ab)′$_2$ fragment of HE2, vaccination studies were also carried out with rhesus monkeys in order to confirm the immunological results in a species closely related to human. For these experiments, the complete murine MAB HE2 was used as immunogen. It was assumed that the murine Fc part as a large xenogeneic protein would also enhance the immune response against the idiotype (carrier effect). In order to avoid possible local side effects, aluminium hydroxide was used as a mild adjuvant. The preparation of the formulation for these vaccination experiments is described in Example 7.

The formulation described in Example 7 was injected subcutaneously in the back of four rhesus monkeys (0.5 mg HE2 = 0.5 ml per vaccination, administered two times at an interval of four weeks). For the recovery of serum, blood was taken at several points of time.

First, the immune response against HE2 was determined in an ELISA. The experimental description is given in Example 8. As shown in Figure 8, significant titers of antibodies against HE2 can already be measured on the day 29.

It was furthermore investigated whether antibodies are induced by the vaccination which bind to KATO III cells. For these tests, a cell-ELISA was used. The experimental description is given in Example 9. As shown in Figure 9, antibodies which bind to Ep-CAM positive Kato III tumor cells are already induced on day 29 in all animals.

In the following, four animals were vaccinated with HE2 adsorbed to aluminium hydroxide in connection with a toxicity study with rhesus monkeys. Four other rhesus monkeys received aluminium hydroxide as a placebo. The preparation of the formulations is described in Examples 10 and 11. In total, the rhesus monkeys were injected subcutaneously in the back four times with 0.5 ml of the respective formulation (effective agent or placebo) (days 1, 15, 29 and 57). For the recovery of serum, blood was taken before the start of the study and at different times during the treatment.

Again, the immune response against HE2 was first determined in an ELISA. The experimental description is given in Example 8. As shown in Figure 10, all four rhesus monkeys of the HE2 group developed a significant humoral immune response against HE2 already after one vaccination which was further enhanced by the second vaccination, whereas the rhesus monkeys of the placebo group do not show any increase in the titer of antibodies against HE2.

These findings were further confirmed by immunoaffinity purification of the sera of

day 43 of the rhesus monkeys of the HE2 group. The experimental description is given in Example 12. As shown in the following table, all four monkeys have developed a strong IgG immune response against HE2 (secondary immune response) in their serum on day 43, whereas the IgM portion is comparable to that of the pre-sera.

monkey	day	µg / ml IgM against	µg / ml IgG against
9206m	-14	7.7	2.8
	43	16.3	135.2
9599m	-14	17.9	2.5
	43	25.4	449.3
8415f	-14	16.0	3.2
	43	22.5	159.9
9139f	-14	5.3	5.0
	43	10.3	69.8

Also the induction of antibodies against Ep-CAM positive Kato III cells was investigated. Again, a cell-ELISA was used for these tests. The experimental description is given in Example 9. As is shown exemplarily in Figure 11, rhesus monkeys of the HE2 group developed antibodies against Kato III cells already on day 29.

In view of the above described results of the vaccination of goats and rhesus monkeys, a patient suffering from intestinal cancer with metastases (Dukes D) was in the following vaccinated with the MAB HE2, adsorbed to aluminium hydroxide, in an anecdotal case. The preparation of the formulation is described in Example 7. In total, the patient was injected four times (day 1, 50, 78, 114) subcutaneously in the upper extremities with 0.5 ml of this formulation (corresponds to 0.5 mg HE2). Blood was taken for the recovery of serum prior to each vaccination and on day 128. First, it was investigated whether antibodies were induced by the vaccination which bind to KATO III cells. The cell-ELISA was again used for these tests. The experimental description is given in Example 9. The results of these experiments are shown in Figure 12. High titers of antibodies which bind to KATO III cells are obviously induced in this cancer patient due to the vaccination.

It was furthermore investigated whether the antibodies induced by the vaccination with HE2 mediate a cytotoxic effect against KATO III cancer cells ex vivo. For this purpose, KATO III cells were incubated with pre- and immunosera of this cancer patient in order to demonstrate a complement-dependent lysis mediated by the induced antibodies. The experimental description is given in Example 13.

The results are shown in Figure 13. The antibodies induced by the vaccination with HE2 are obviously able to destroy Ep-CAM positive KATO III cells via complement-dependent lysis in autologous patient serum.

The above described experiments exemplarily show that the vaccination with suitable antibodies against a self-adhesion TAA, such as Ep-CAM, or their derivatives with the same idiotype as the respective starting antibodies, triggers a humoral immune response which selectively binds on tumor cells which express this self-adhesion TAA. The induced antibodies may display a cytotoxic potential against such tumor cells. A vaccination with such antibodies can therefore lead to a therapeutic effect in cancer diseases.

Examples

Materials used:

microtiter plates:	Immuno Plate F96 MaxiSorp (Nunc) for ELISA Cell Culture Cluster (Costar; Cat.Nr. 3598) for cell-ELISA
cell lines:	SW2: human small cell lung carcinoma line, Ep-CAM positive KATO III: human stomach cancer cell line, Ep-CAM positive (ATCC HTB 103) WM 9: human melanoma cell line, Ep-CAM negative
Coupling buffer:	0.1 M $NaHCO_3$

	0.5 M NaCl
	pH value 8.0
Purification buffer A:	PBS def
	0.2 M NaCl
	pH value 7.2
Purification buffer B:	0.1 M glycine / HCl
	0.2 M NaCl
	pH value 2.9
Medium A:	RPMI 1640 + 2 g/l $NaHCO_3$
	100 U/ml penicillin G
	100 µg/ml streptomycin sulfate
	4 mM glutamine
	10 % fetal calf serum (heat inactivated)
Binding buffer:	15 mM Na_2CO_3
	35 mM $NaHCO_3$
	3 mM NaN_3
	pH value: 9.6
PBS deficient:	138 mM NaCl
	1.5 mM KH_2PO_4
	2.7 mM KCl
	6.5 mM Na_2HPO_4
	pH value: 7.2
Fixing solution:	0.1 % glutardialdehyde in physiological NaCl solution
Washing buffer A:	2% NaCl
	0.2% Triton X-100
	in PBS deficient

Washing buffer B:	0.05 % Tween 20 in PBS deficient
Blocking buffer A:	5 % fetal calf serum (heat inactivated) in PBS deficient
Blocking buffer B:	1 % bovine serum albumin 0.1 % NaN_3 in PBS deficient
Dilution buffer A:	2% fetal calf serum (heat inactivated) in PBS deficient
Dilution buffer B:	PBS deficient
Staining buffer:	24.3 mM citric acid 51.4 mM Na_2HPO_4 pH value: 5.0
Substrate:	40 mg o-phenylen diamin dihydrochloride 100 ml staining buffer 20 µl H_2O_2 (30%)
Stop solution:	4 N H_2SO_4

Example 1:

In vitro cultivated SW2 cells are centrifuged and the pellet is suspended in Medium A and adjusted to $7x10^4$ cells/ml. In the chambers of a LabTek either 0.1 ml PBS def are mixed with 0.3 ml of the cell suspension or 0.1 ml PBS def are mixed with 40 µg HE2 and then with 0.3 ml of the cell suspension (final concentration of HE2 100 µg/ml). Just before the cell suspension is added as the last constituent, the cells are separated with the pipette. Immediately after mixing, the respective cell suspensions are photographed in the inverted microscope (magnification 100-fold). Subsequently,

the cell suspensions are cultivated for 4 hours at 37°C / 5% CO_2 and then photographed again.

Example 2:

Two goats are each vaccinated intradermally at multiple sites with 1.5 mg of the F(ab)'$_2$ fragment in 3 ml PBS deficient together with 3 ml of Freund's Complete Adjuvant (Difco). On day 8, a first booster vaccination as on day 1 is given, however with Freund's Incomplete Adjuvant (Difco). On day 29, a second booster vaccination is given in the same manner. However, no adjuvant is added. Blood is taken before the start of the vaccination and on day 54 for the recovery of serum for the analysis of the immune response developed.

Example 3:

100 µl aliquots of the MAB HE2 (solution with 10 µg/ml in binding buffer) are incubated in the wells of a microtiter plate for 1 hour at 37°C. After washing the plate with washing buffer A six times, 200 µl of the blocking buffer A are added to each well and the plate is incubated for 30 minutes at 37°C. After washing the plate as described above, 100 µl aliquots of the goat sera to be tested are incubated in dilutions from 1:100 to 1:1 000 000 in dilution buffer A for 1 hour at 37°C. After washing the plate as described above, 100 µl of the peroxidase-conjugated rabbit anti-goat-Ig antibody (Zymed) are added to each well at a dilution of 1:1000 in dilution buffer A and are incubated for 30 minutes at 37°C. The plate is washed with washing buffer A for four times and twice with staining buffer. The binding of the antibody is detected by addition of 100 µl of the specific substrate to each well and the staining reaction is stopped after about 10 minutes by addition of 50 µl stop solution. The evaluation is carried out by measuring the optical density (OD) at 490 nm (wavelength of the reference measurement is 620 nm).

Example 4:

The wells of a microtiter plate were incubated at +4°C over night with 100 µl of a cell

suspension of the cell line to be tested at a concentration of $2x10^6$ cells/ml in medium A. After sucking off the supernatant, the plate is incubated with 50 µl fixing solution per well for 5 minutes at room temperature. After sucking off the supernatant, 200 µl blocking buffer B are added to each well and the plate is incubated for 1 hour at 37°C. After washing twice with 200 µl washing buffer B, 100 µl aliquots of the goat sera to be tested are incubated for 1 hour at 37°C at dilutions of 1:10 to 1:100 000 in dilution buffer B. After washing the plate twice with 100 µl ice-cold washing buffer B, 100 µl of the peroxidase-conjugated rabbit anti-goat-Ig antibody (Zymed) are added at a dilution of 1:1000 in dilution buffer A and are incubated for 45 minutes at 37°C. The plate is washed three times with 100 µl ice-cold washing buffer B. The binding of the antibody is detected by the addition of 100 µl of the specific substrate per well and the staining reaction is stopped after about 10 minutes by addition of 50 µl stop solution. The evaluation is carried out by measuring the optical density (OD) at 490 nm (wavelength of the reference measurement is 620 nm).

Example 5:

The purification is principally described in Proc. Natl. Acad. Sci. USA 81:216, 1984 and is summarized as follows: in a first step, a purification of the total IgG contained in the goat serum is carried out according to known methods on a DEAE anion exchanger column. Subsequently, the goat antibodies which are directed against constant regions of the $F(ab)'_2$ fragment of HE2 are bound to an immunoaffinity column (CH-Sepharose 4B, Pharmacia) to which irrelevant murine IgG2a was coupled, whereas the fraction of the anti-idiotypic goat antibodies does not bind to this column. Therefore, in a last step, the flow-through of this immunoaffinity chromatography is bound to an immunoaffinity column (CH-Sepharose 4B, Pharmacia) to which HE2 was coupled. The fraction specifically bound to this column is eluted with a buffer pH 2.8 (0.1 M glycine/HCl) and neutralized. The goat IgG fraction obtained in this way is directed against the idiotype of HE2.

Example 6:

This cell-ELISA is basically carried out in the same way as described in Example 4.

Instead of serum dilutions, concentrations of 100 µg/ml to 0.031 µg/ml of the immunoaffinity-purified goat IgG and of the unspecific purified goat IgG, respectively, are used.

Example 7:

0.83 ml of a suspension of Alu-Gel (Alu-Gel S by Serva, 2% suspension, quality degree: adjuvant for the preparation of vaccines) is carefully agitated for 1 hour at room temperature under sterile conditions with 0.5 ml of a solution of 10 mg/ml HE2 in PBS pH 5.5 together with 3.67 ml PBS def. (final concentration of HE2: 1 mg/ml; Alu-Gel S: 0.33%). Then, the suspension is sterilly filled in injection vials at aliquots of 0.5 ml.

Example 8:

This ELISA is basically carried out in the same manner as described in Example 3 with the exception that a peroxidase-conjugated goat-anti-human-Ig antibody (Zymed) is used for the detection of the bound rhesus monkey antibodies. With this reagent rhesus monkey antibodies can be detected in the same manner as human antibodies since the sequence homology of the constant regions of human antibodies and rhesus monkey antibodies is about 98%.

Example 9:

This cell-ELISA is basically carried out in the same manner as described in Example 4 with the exception that a peroxidase-conjugated goat-anti-human-Ig antibody (Zymed) is used for the detection of the rhesus monkey antibodies (or the human antibodies) which are bound to the cells. A peroxidase-conjugated goat-anti-mouse-IgG antibody (Zymed) is used for the detection of the murine HE2 as a control.

Example 10:

3.5 ml of a solution of HE2 (10 mg/ml in PBS def. pH = 5.5) are mixed under sterile

conditions with 0.35 ml of an aqueous thimerosal solution (10 mg/ml; Sigma) as well as with 27.25 ml physiological saline solution and added to 3.9 ml of an aluminium hydroxide suspension (3% in water; Alhydrogel, Superfos Biosector, Denmark) under careful agitation. 0.6 ml of the suspension obtained in this way are then filled into depyrogenated glass tubes under sterile conditions which are sealed with a rubber plug with an aluminium cap.

Example 11:

The placebo formulation is prepared in the same manner as described in Example 10 with the exception that 0.35 ml physiological NaCl solution is used instead of the antibody solution and 3.5 ml PBS def pH = 5.5 and instead of the thimerosal solution.

Example 12:

1 g CH-Sepharose 4B (Parmacia) are suspended in 30 ml 1 mM HCl for 15 minutes. The gel is then washed on a filter of sintered glass AG3 with 1 liter 1 mM HCl and subsequently with 200 ml coupling buffer. 10 mg HE2 (stock solution 10 mg/ml) are dialyzed against about 0.5 liter coupling buffer. This solution is mixed with the gel suspension in a sealed container. A ratio of gel: buffer of 1:2 leads to a suspension suitable for the coupling. This suspension is agitated for 5.5 hours at room temperature. Subsequently, the excess of the ligand is removed by washing with 3 x 30 ml coupling buffer. Remaining reactive groups are blocked by a 1 hour incubation at room temperature with 1 M ethanol amine. The gel is then agitated for 1 hour at room temperature with 0.1 M Tris-HCl buffer pH = 8. Finally, the gel is washed with 3 cycles of buffers with alternating pH. Each cycle consists of 0.1 M sodium acetate buffer pH 4 with 0.5 M NaCl, and subsequently 0.1 M Tris-HCl buffer pH 8 with 0.5 M NaCl. The gel is kept at 4°C.

The immunoaffinity purification of the antibody fraction directed against HE2 from the serum of the rhesus monkeys is carried out according to the following instructions: the immunoaffinity purification is carried out on the FPLC system (Pharmacia). 1 ml of the gel obtained according to the above instructions is filled into a Pharmacia HR5/5 column. 0.5 ml serum are diluted 1:10 with Purification buffer A. This solution

is pumped over the column at a rate of 1 ml/minute and washed with purification buffer A until the UV basis line of the detector is reached again (280 nm). Bound immunoglobulines are then eluted with Purification buffer B and the fraction is immediately neutralized after desorption with 0.5 M Na_2HPO_4 and 0.02% NaN_3 are added. 50 µl of the antibody fraction purified in this way are analyzed on a size fractionation column (SEC, Zorbax 250 GF) and the portions of IgG and IgM are quantified. For the SEC 220 mM phosphate buffer pH 7 + 10% acetonitrile is used as an eluent. Human IgG and human IgM serve as standard for the SEC which were each chromatographed in several concentrations for establishing a standard calibration curve (peak area vs. concentration). The calculation of the IgG and IgM concentrations in the affinity purified antibody fractions from rhesus monkeys was carried out by linear regression using the standard curves. The concentrations are indicated as µg/ml of the used monkey serum.

Example 13:

One day before carrying out the test, KATO III cells are transferred to fresh medium A and are kept at 37°C/5% CO_2 in a cell culture flask. On the next day, the cells are first labelled with ^{51}chrome. $5x10^6$ cells are incubated in 800 µl medium A at 37°C/5% CO_2 with 100 µCi $Na_2{}^{51}CrO_4$. Subsequently, the cells are washed with medium A and adjusted to a density of $2.5x10^5$ cells/ml. 100 µl aliquots of this cell suspension are pipetted into the wells of a microtiter plate. 100 µl aliquots of the patient sera to be tested are added and incubated for 3 hours at 37°C/5% CO_2 (the sera are stored at –80°C and are thawed only once for this assay in order to avoid harming the activity of the complement). The supernatants are recovered by using a Skatron-Harvesting-Press and are measured in a gamma-counter. As a result, the values for the "experimental release" are obtained. For the determination of the "total release", the cells are treated as described above wherein serum is replaced by a solution of 2% SDS, 50 mM Na_2CO_3 and 10 mM EDTA. The values for the "spontaneous release" are obtained by replacing serum by medium A. The result is calculated as follows:

$$\% \text{ Lyse} = \frac{\text{experimental release - spontaneous release}}{\text{total release - spontaneous release}} \times 100$$

The test is carried out 3 times and the mean value and the standard deviation of the single results are indicated.

Annex to IPER

CLAIMS

1. Use of an antibody which is directed against the cellular membrane antigen Ep-CAM for the preparation of a pharmaceutical composition for the prophylactic and/or therapeutic vaccination against cancer.

2. The use of claim 1, wherein the antibody is of animal origin.

3. The use of claim 1 or 2, wherein the antibody is a monoclonal antibody.

4. The use of claim 3, wherein the antibody is a murine monoclonal antibody, wherein the variable region of the heavy chain is the amino acid sequence as shown in SEQ ID NO:1 and wherein the variable region of the light chain is the amino acid sequence as shown in SEQ ID NO:2.

5. The use of any one of claims 1 to 3, wherein the antibody has the same fine specificity of binding as the antibody as defined in claim 4.

6. The use of any one of claims 1 to 5, wherein two or more antibodies which are directed against different epitopes of the membrane antigen are used in combination with each other.

7. The use of any one of claims 1 to 6, wherein the pharmaceutical composition further comprises also at least one vaccine adjuvant.

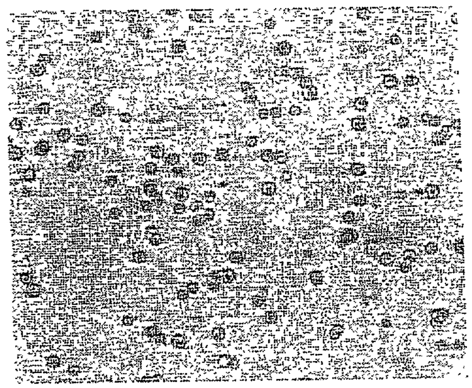
8. The use of any one of claims 1 to 7, wherein the pharmaceutical composition is suitable for the administration of the antibody at a dosage in the range of 0.01 to 4 mg antibody.

9. The use of any one of claims 1 to 9, wherein the pharmaceutical composition is suitable for the administration by subcutaneous, intradermal or intramuscular injection.

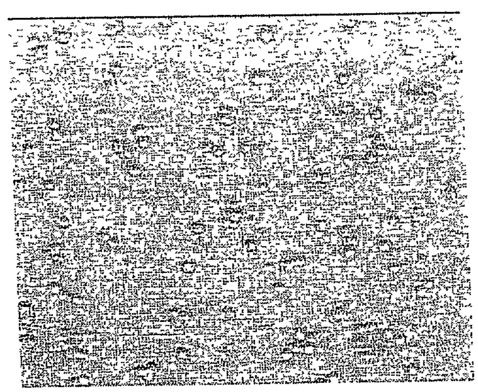
ABSTRACT

Described is the use of antibodies which are directed against human cellular membrane antigens for the vaccination against cancer diseases.

Figure 1

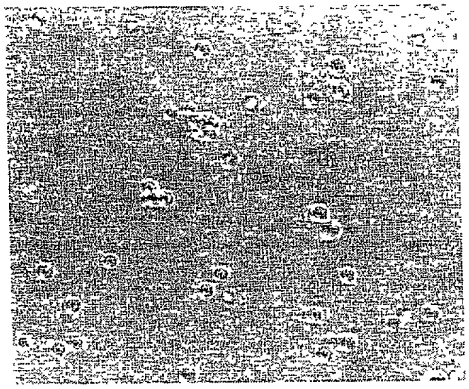


SW2 cells in medium with 100 µg/ml HE2, hour 0

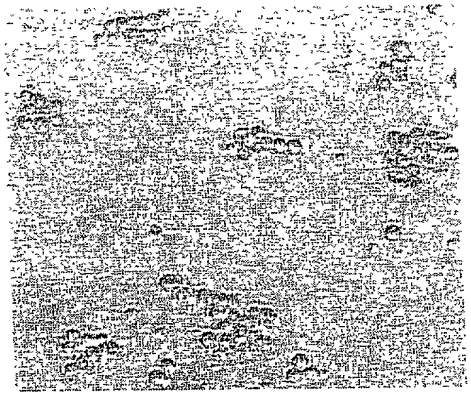


SW2 cells in medium with 100 µg/ml, hour 4

Figure 2



SW2 cells in medium, hour 0



SW2 cells in medium, hour 4

Figure 3

Vaccination of goats with HE2-F(ab)'2: Serum Ig with specificity for HE2

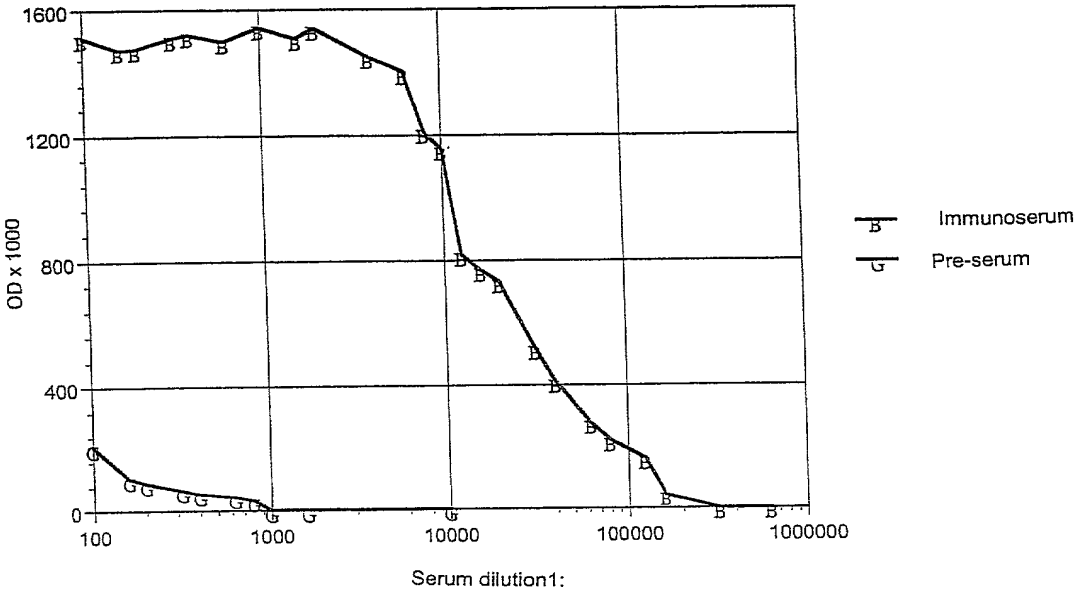


Figure 4

Vaccination of goats with HE2-F(ab)'2: Binding of serum Ig to Ep-CAM positive human stomach cancer cells (KATO III)

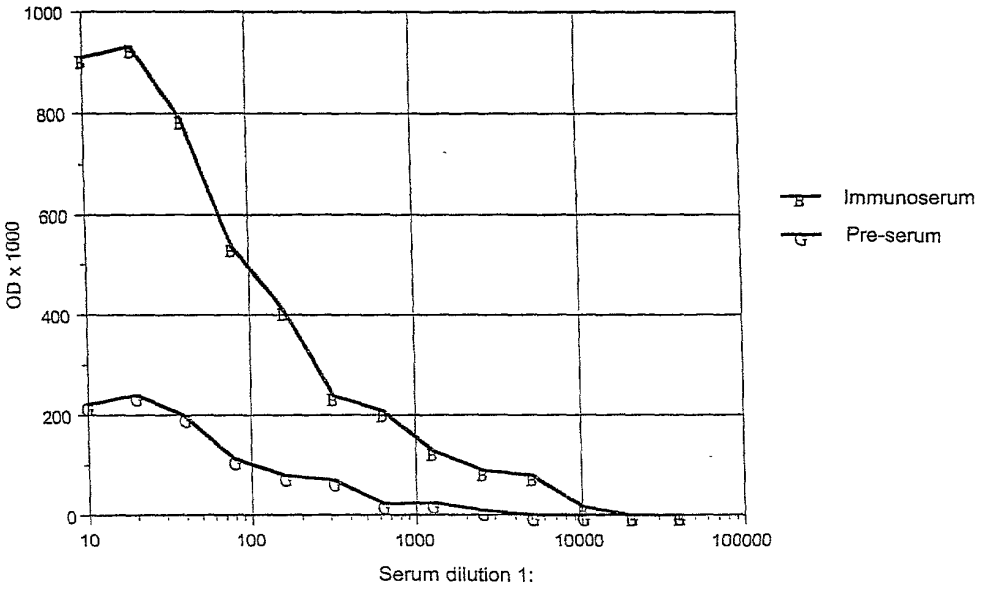


Figure 5

Vaccination of goats with HE2-F(ab)'2:
Binding of serum Ig to Ep-CAM negative
human melanoma cells (WM9)

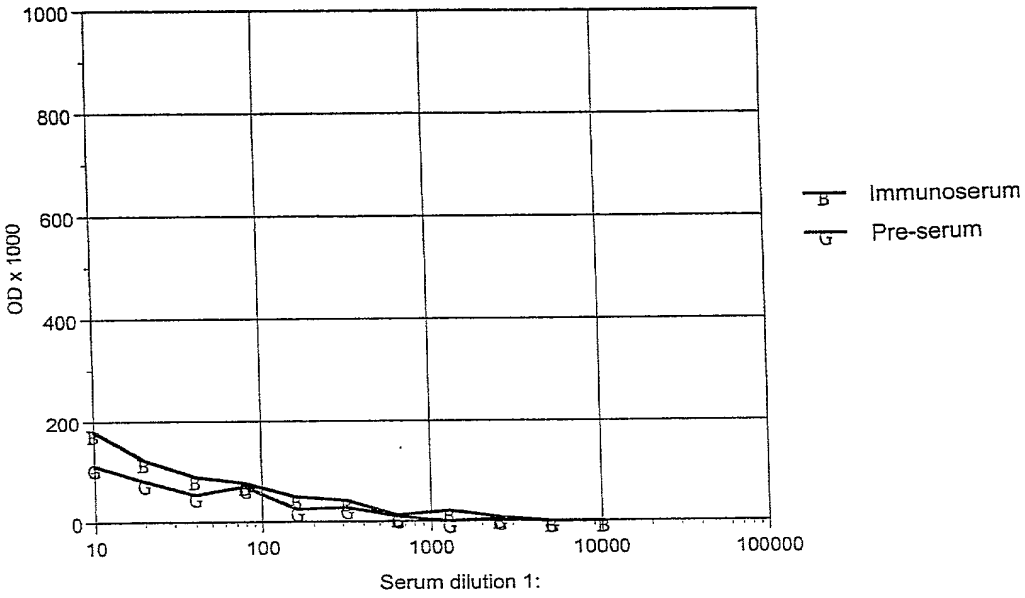


Figure 6

Vaccination of goats with HE2-F(ab)'2:
Binding of affinity-purified serum Ig to Ep-CAM positive human stomach cancer cells (KATO III)

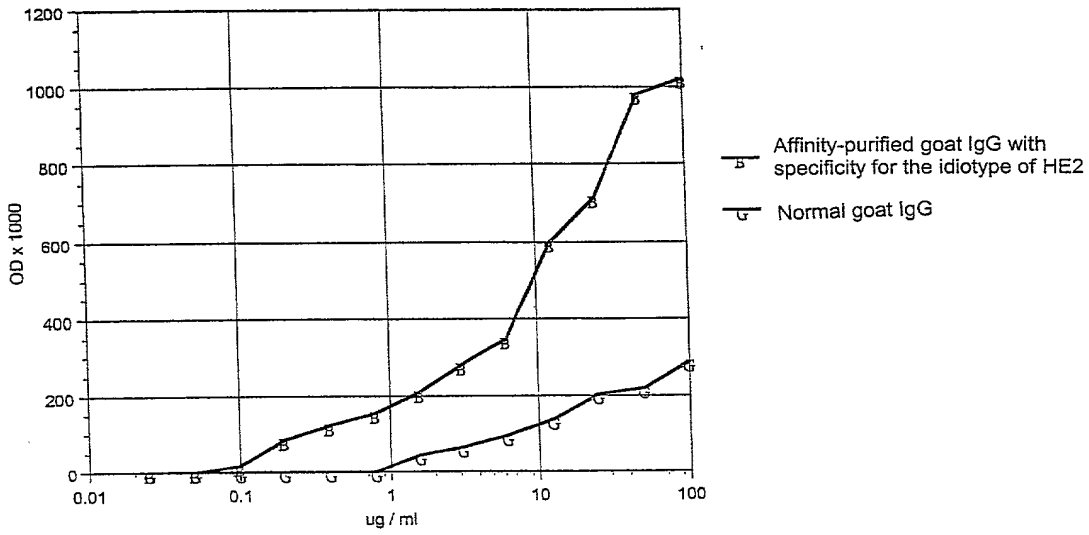


Figure 7

Vaccination of goats with HE2-F(ab)'2:
Binding of affinity-purified serum Ig to Ep-CAM negative human melanoma cells

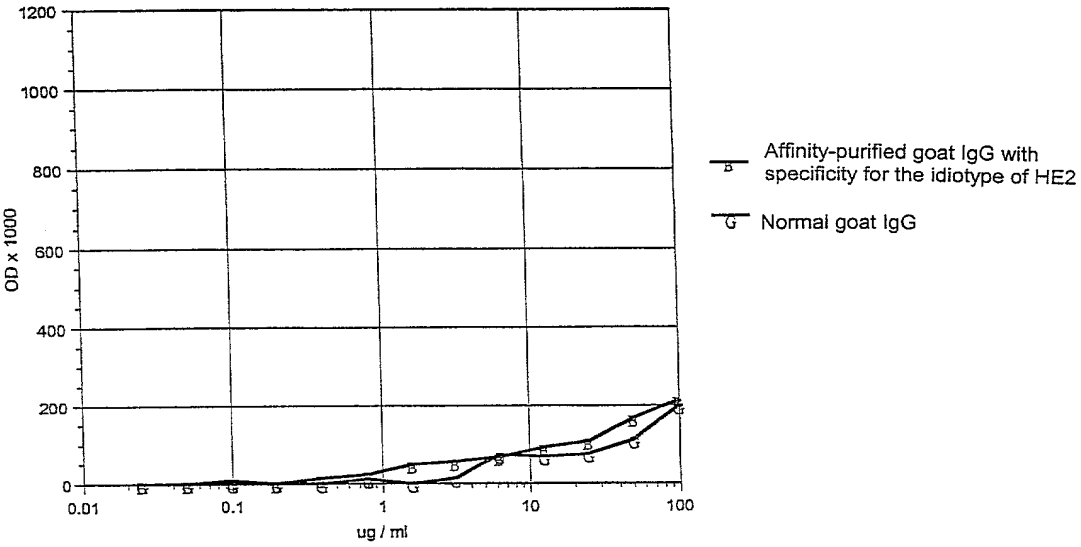


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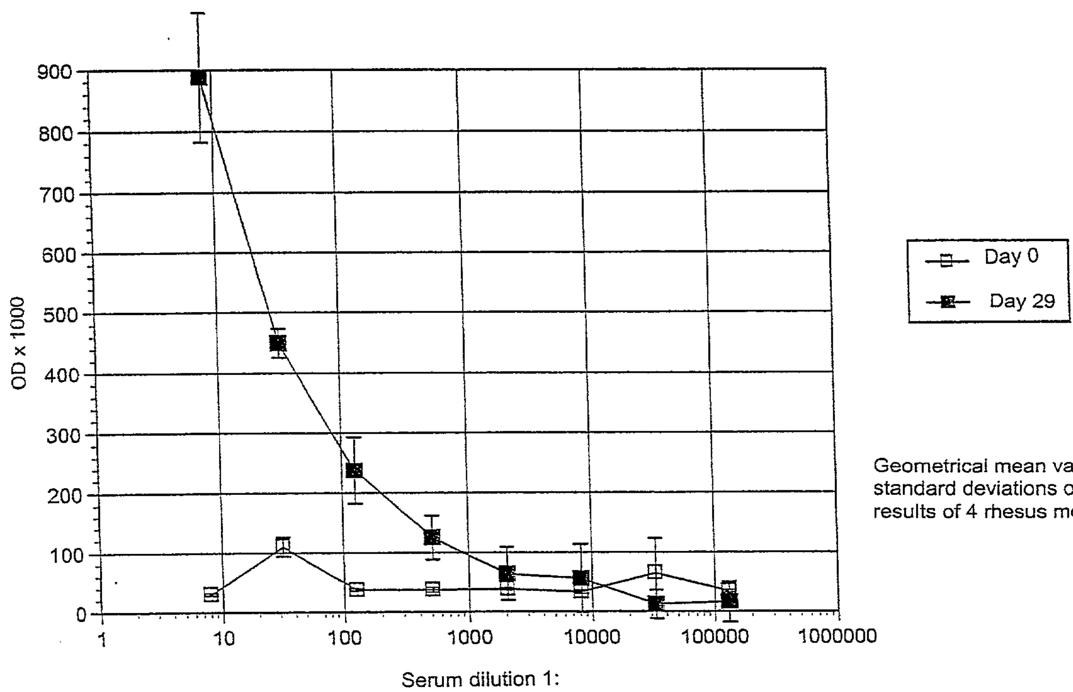
Figure 8

Vaccination of rhesus monkeys with HE2
Binding of serum Ig to HE2



Geometrical mean values and standard deviations of the results of 4 rhesus monkeys

Figure 9

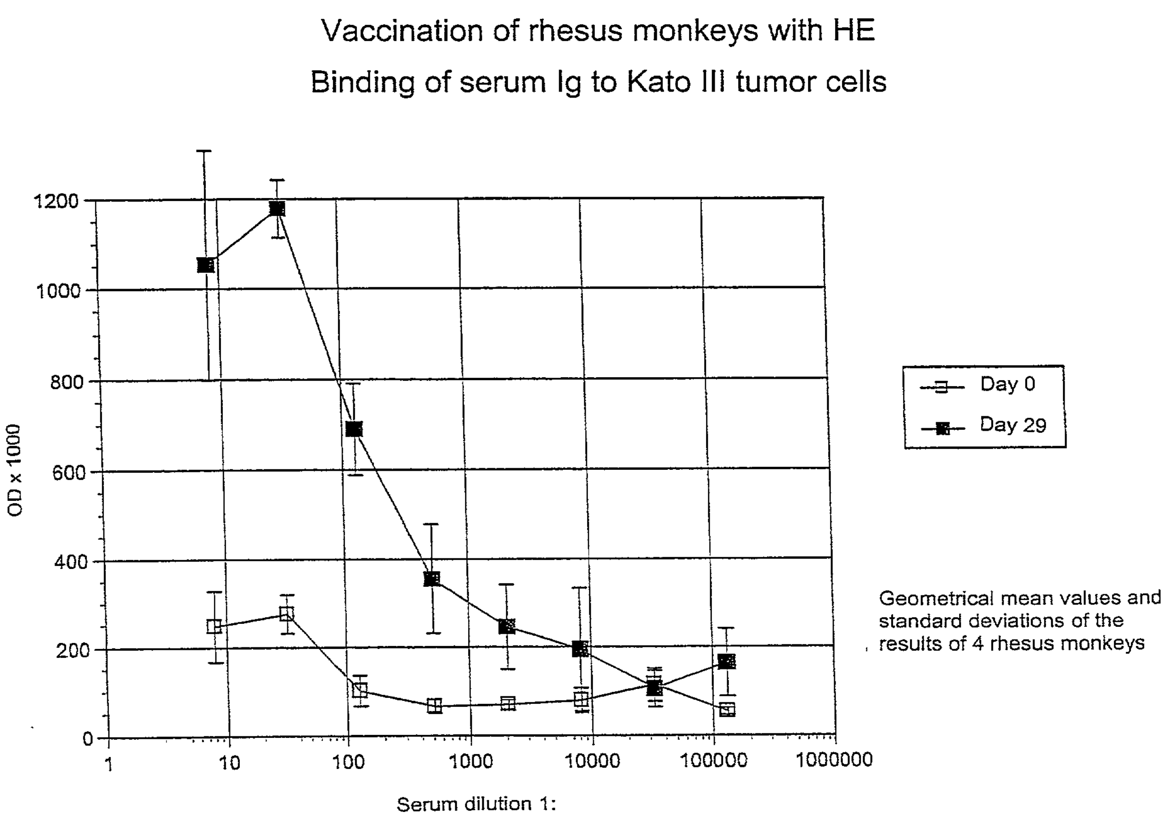


Figure 10

Study of rhesus monkeys
Titer of antibodies against HE2

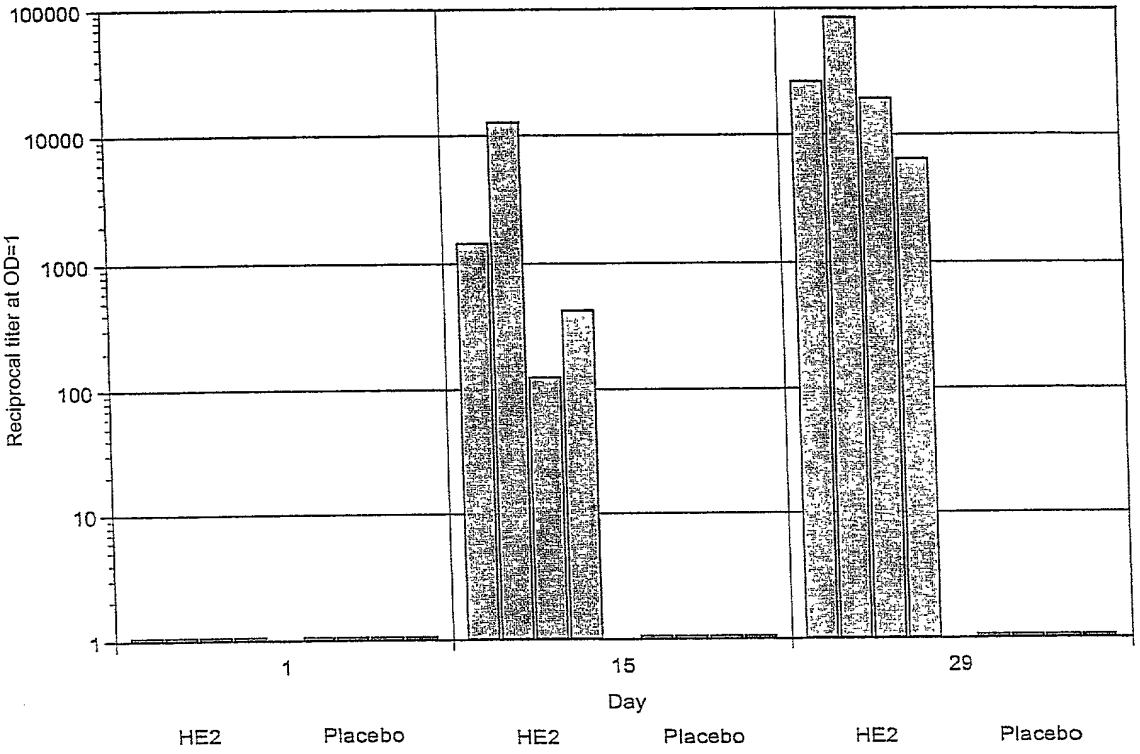


Figure 11

Study with rhesus monkeys:
Serum Ig against Kato III tumor cells

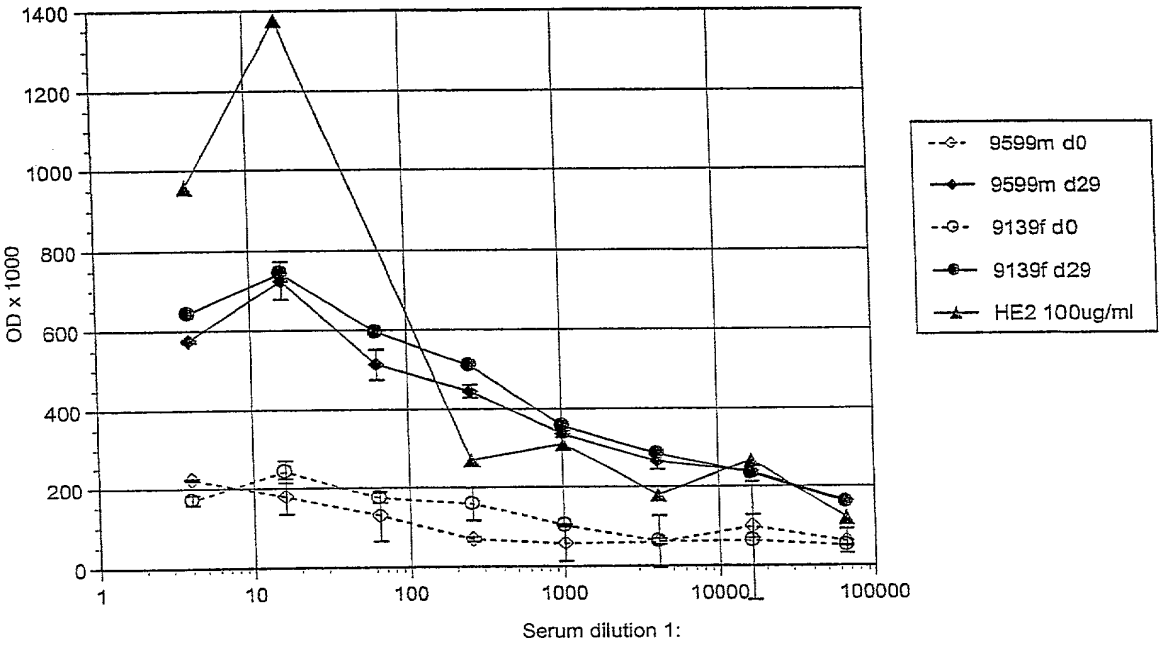


Figure 12

Vaccination of a patient with intestinal cancer with HE2: Induction of antibodies against human stomach cancer cells (KATO III)

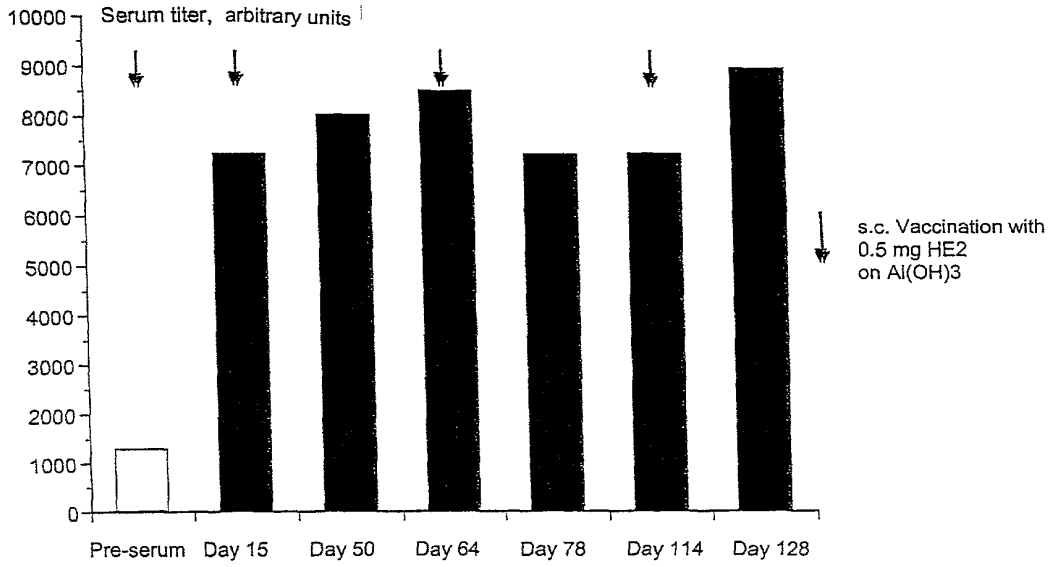
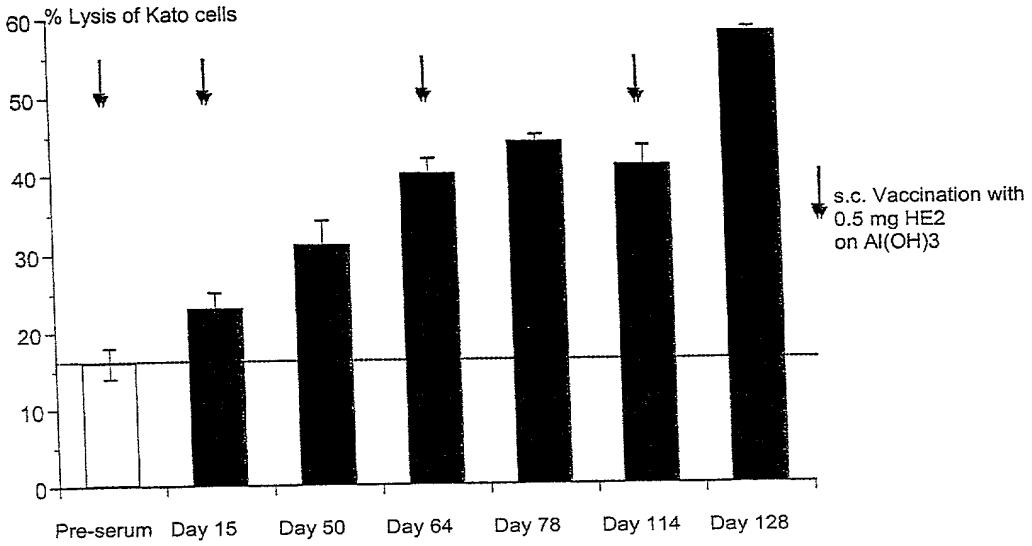


Figure 13

Vaccination of a patient with intestinal cancer with HE2: Induction of serum cytotoxicity against human stomach cancer cells (KATO III)



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PLEASE NOTE: YOU MUST COMPLETE THE FOLLOWING

COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT AND DESIGN APPLICATIONS

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated next to my name; that I verily believe that I am the original, first and sole inventor (if only one inventor is named below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Insert Title: USE OF ANTIBODIES FOR THE VACCINATION AGAINST CANCER

Fill in Appropriate Information - For Use Without Specification Attached:

the specification of which is attached hereto. If not attached hereto,
the specification was filed on July 13, 2001 as
United States Application Number ____;
and amended on ____ (if applicable) and/or
the specification was filed on January 12, 2000 as PCT
International Application Number PCT/EP00/00174; and was
amended under PCT Article 19 on ____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representative or assigns more than twelve months (six months for designs) prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, except as follows.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Insert Priority Information: (if appropriate)

Prior Foreign Application(s)			Priority Claimed	
1999 0051/99 (Number)	Switzerland (Country)	January 13, 1999 (Month/Day/Year Filed)	☒ Yes	☐ No
(Number)	(Country)	(Month/Day/Year Filed)	☐ Yes	☐ No
(Number)	(Country)	(Month/Day/Year Filed)	☐ Yes	☐ No
(Number)	(Country)	(Month/Day/Year Filed)	☐ Yes	☐ No

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below.

Insert Provisional Application(s): (if any)

(Application Number) (Filing Date)

(Application Number) (Filing Date)

All Foreign Applications, if any, for any Patent or Inventor's Certificate Filed More than 12 Months (6 Months for Designs) Prior to the Filing Date of This Application:

Insert Requested Information: (if appropriate)

Country	Application Number	Date of Filing (Month/Day/Year)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and/or PCT application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States and/or PCT application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

Insert Prior U.S. Application(s): (if any)

(Application Number)	(Filing Date)	(Status - patented, pending, abandoned)
(Application Number)	(Filing Date)	(Status - patented, pending, abandoned)

I hereby appoint the practitioners at CUSTOMER NO. 2292 as my attorneys or agents to prosecute this application and/or an international application based on this application and to transact all business in the United States Patent and Trademark Office connected therewith and in connection with the resulting patent based on instructions received from the entity who first sent the application papers to the practitioners, unless the inventor(s) or assignee provides said practitioners with a written notice to the contrary:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First or Sole Inventor: Insert Name of Inventor → Insert Date This Document is Signed

Insert Residence Insert Citizenship →

Insert Post Office Address →

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*DATE OF SIGNATURE